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Photocyclization of a naphthyl substituted Y-enyne^{†,‡}

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Abstract—Y-enyne 1 undergoes electrocyclic ring closure, via a cumulene intermediate 2, to photoproduct 3 upon irradiation at 350 nm in the presence/absence of air. In non-polar solvents, a [1,5] H-shift affords the photoproduct. In MeOX (X=H/D), protonation/deuteration of the central allenic carbon in 2 occurs. The X-ray structure of 3, the photoproduct upon irradiation of 1 in benzene, is reported. © 2002 Elsevier Science Ltd. All rights reserved.

Interest in antitumoral activity of enediynes has increased recently and calicheamicin and esperamicin have been tested clinically.¹ Bergman² cyclization of enediynes (A) was reported to play a key role in such enediyne antitumor drugs.³ After a trigger reaction (cyclization is not spontaneous) brings the edges of the enediyne with its six conjugated π electrons into sufficient proximity, cyclization takes place in vivo forming active diyl radicals, which subsequently interact with macromolecule targets.⁴



Unlike linear enediynes, cross-conjugated enediynes (Yenynes), **B** cannot undergo Bergman cycloaromatization because five carbon atoms with only five π electrons are involved, and this is insufficient for aromatization. However, under reductive conditions cyclization may be possible generating a product with a Hückel number of $(2n+2) \pi$ electrons.⁵

Tinnemans and Laarhoven first reported the photocyclization of enynes⁶ proposing a radical mechanism⁷ in non-polar solvents (hexane and benzene) and an ionic mechanism in methanol. In a subsequent paper in the series, Van Arendonk⁸ et al. proposed a 1,2,4-cyclohexatriene derivative formed from the singlet-excited cis-1,4-diaryl-butenyne as the primary reaction intermediate. This led to a product either via a radical intermediate, an ionic intermediate or under an argon atmosphere directly by intramolecular hydrogen shift. Previously, we reported that photocyclization occurred when the Y-envne was substituted with a naphthyl group (Scheme 1).9 We suggested photocyclization of 1 occurred entirely via an intermediate cumulene, 2, in methanol, hexane and benzene under both argon and air (Scheme 1).9

The photoreaction of 1 in C_6D_6 was followed by ¹H NMR (Fig. 1). The starting material 1 shows two distinct peaks (Fig. 1a). The most downfield peak, a broad singlet due to meta coupling with H_c, is assigned to H_a . H_c is a doublet of doublets (*ortho* coupled to H_d and meta coupled to H_a ; $J_{meta} = 1.4$ Hz). Irradiation at 350 nm in benzene caused four new peaks to appear (Fig. 1b). Eventually, the two peaks corresponding to the starting material disappeared affording photoproduct 3 (Fig. 1c). In 3, H_b and H_e are meta coupled $(J_{meta} = 1.4 \text{ Hz})$, while H_c is coupled to H_d and is therefore a doublet. Similar results were observed when 1 was irradiated in C_6H_6 , C_6D_6 , C_6H_{14} , and C_6D_{14} , indicating that these solvents are not involved in the photoreaction. Irradiation of 1 in CH₃OD led to deuterium incorporation. The $H_{\rm b}$ peak in the photoproduct observed upon irradiation in CH₃OH, a doublet meta coupled with H_e (same as in Fig. 1c), became a singlet

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[†] This paper is dedicated to Professor Dr. J. W. Neckers on the occasion of his 100th birthday.

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upon irradiation of 1 in CH₃OD (photoproduct 4). This was accompanied by the disappearance of the H_e peak (Fig. 1d). GC/MS (DIP) indicated a MW of 355 (MW of 3 is 354). No deuteration was observed when irradiation was carried out in CD₃OH. In MeOX (X=H/D), protonation/deuteration of the central allenic carbon in 2 afforded the photoproduct 3/4 (path i of Scheme 1). In non-polar solvents, a [1,5] H-shift afforded the photoproduct (path ii of Scheme 1). Irradiation of 1a

(>95% D incorporation) in hexane led exclusively to 4 (>95% D incorporation).¹⁰ This confirmed the proposed [1,5] H-shift via the cumulene intermediate 2.⁹ The photocyclization formed phenanthrene rather than anthracene (Fig. 2a). MOPAC and SPARTAN calculations showed the absence of electron density over the γ position of the naphthalene (Fig. 2b) in 1 in both the HOMO (highest occupied molecular orbital) and LUMO (lowest unoccupied molecular orbital), explain-



Scheme 1. Proposed mechanism for photocyclization of 1.



Figure 1. ¹H NMR (200 MHz) spectra of **1** in C_6D_6 upon 350 nm irradiations. Irradiation time is (a) 0 h, (b) 13 h, (c) 32 h (photoproduct **3**). (d) ¹H NMR spectrum of **4** dissolved in C_6D_6 .



Figure 2. (a) Specificity of the photoreaction. (b) HOMO and LUMO of 1.



Figure 3. (a) ORTEP of 3 at 150 K (ellipsoids at 50% probability). (b) Stacking of 3.

ing the specifity of this photoreaction. α -Cyclization¹¹ in naphthalene substituted ethylenes was supported by molecular orbital calculations.

X-Ray¹² analysis of 3, the photoproduct formed upon irradiation of 1 at 350 nm in benzene, confirms its chemical structure (Fig. 3a). We noticed that 3 molecules pack along the *b* axis of the unit cell where they appear as ribbons of molecules, separated by 4 Å (intermolecular π - π interactions between the phenanthryl rings) (Fig. 3b).

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